

02-11-02

PTO/SB/05 (03-01)

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket No. 37125/0110/31374
First Inventor Girish R. Kamath
Title Autotransformer-Based System And Method Of Current
Harmonics Reduction In A Circuit
Express Mail No. EL474164118US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent
application contents.

ADDRESS TO: Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

1. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
2. ☒ Specification [Total Pages 41]
(preferred arrangement set forth below)
☒ Descriptive title of the invention
☐ Cross Reference to Related Applications
☐ Statement Regarding Fed sponsored R & D
☐ Reference to sequence listing, a table,
or a computer program listing appendix
☒ Background of the Invention
☒ Brief Summary of the Invention
☒ Brief Description of the Drawings (if filed)
☒ Detailed Description
☒ Claim(s)
☒ Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 12]
4. ☒ Oath or Declaration [Total Pages 3]
☐ Newly executed (original or copy)
☐ Unexecuted
☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

5. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS


- ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent
15. ☒ Certificate of Express Mail
16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/818,068
Prior application information: Examiner Jeffrey L. Sterrett Group Art Unit 2838

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is
supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby
incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted
application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label  or ☒ Correspondence address below

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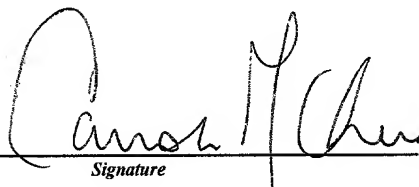
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PATENT APPLICATION FEE DETERMINATION RECORD				Application or Docket Number 37125/0110/31374			
CLAIMS AS FILED - PART I			SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
	(Column 1)	(Column 2)					
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE		RATE	FEE
BASIC FEE (37 CFR 1.16(a))					OR		\$740.00
TOTAL CLAIMS (37 CFR 1.16(c))	8 minus 20 =	0	x _____ =	0.00	OR	x \$18.00 =	0.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	4 minus 3 =	1	x _____ =	0.00	OR	x \$84.00 =	84.00
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))			+ _____ =		OR	+ _____ =	
* If the difference in column 1 is less than zero, enter "0" in column 2			TOTAL	0.00	OR	TOTAL	824.00

- ☐ A check in the amount of _____ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 20-0823 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$824.00.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

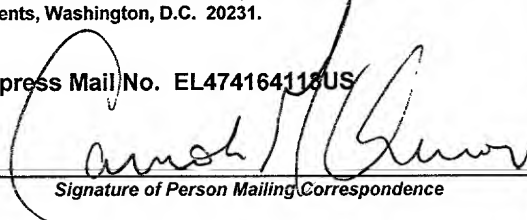
Dated: February 7, 2002

Caroline G. Chicoine, Reg. No. 38,198
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St. Louis, Missouri 63101
314-552-6
FAX 314-552-7

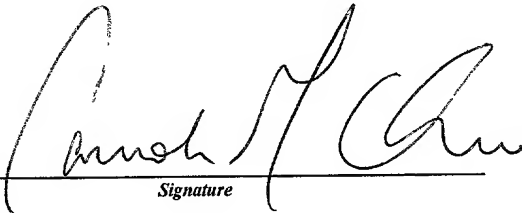
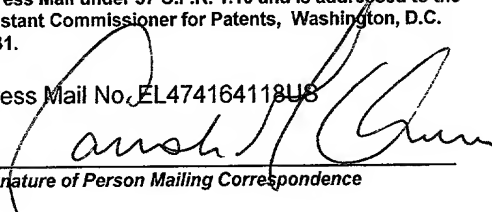
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Signature of Person Mailing Correspondence

Caroline G. Chicoine
Typed or Printed Name of Person Mailing Correspondence

EXPRESS MAIL CERTIFICATE OF MAILING (37 CFR 1.10)		Docket No. 37125/31374	
Express Mail No. _____			
In Re Application Of: Girish R. Kamath			
Serial No. To be assigned	Filing Date To be assigned	Examiner To be assigned	Group Art Unit
Title: Autotransformer-Based System And Method of Current Harmonics Reduction In A Circuit			
<u>TO THE COMMISSIONER OF PATENTS AND TRADEMARKS</u>			
Transmitted herewith is:			
<div style="display: flex;"> <div style="width: 30px; text-align: right; padding-right: 5px;"> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> </div> <div> <p>Utility Patent Application Transmittal:</p> <p>Patent Application</p> <p>Drawings: 12 Sheets <input checked="" type="checkbox"/> Formal <input type="checkbox"/> Informal;</p> <p>Power of Attorney: <input checked="" type="checkbox"/> Executed <input type="checkbox"/> Not executed</p> <p>Declaration: <input checked="" type="checkbox"/> Executed <input type="checkbox"/> Not executed</p> <p>Assignment with Recordation Form</p> <p>37 CFR 3.73(b) Statement</p> <p>Information Disclosure Statement with References (4)</p> <p>NonPublication Request under 35 U.S.C. 122(b)(2)(B)(i)</p> <p>Postcard</p> <p>A check in the amount of _____ is attached</p> </div> </div>			
<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;">  <hr style="width: 30%; margin: 0 auto;"/> <p>Signature</p> </div> <div> <p>Dated: February 7, 2002</p> </div> </div>			
Caroline G. Chicoine, Reg. No. 38,198 Thompson Coburn LLP One Firstar Plaza, Suite 3500 St. Louis, Missouri 63101 314-552-6499 314-552-7499 FAX		<p>I certify that the document and fee is being deposited on 2/7/02 with the U.S. Postal Service as Express Mail under 37 C.F.R. 1.10 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p>Express Mail No. EL474164118US</p> <div style="text-align: center;">  <hr style="width: 80%; margin: 0 auto;"/> <p>Signature of Person Mailing Correspondence</p> <p>Caroline G. Chicoine</p> <p>Typed or Printed Name of Person Mailing Correspondence</p> </div>	
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